

Computations of Hypergeometric Generating Series for Small-Step Walks in the Quarter Plane

Frédéric Chyzak



JNCF 2014

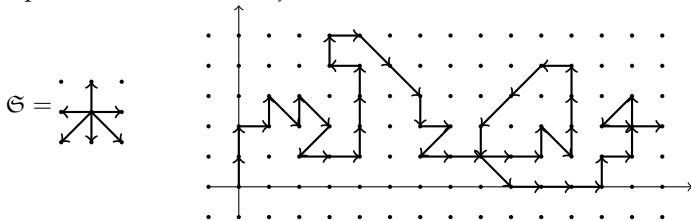
Joint work with A. Bostan, M. Kauers, L. Pech, and M. van Hoeij

Enumerative Combinatorics of Lattice Walks

- ▷ Nearest-neighbor walks in the quarter plane = walks in $\mathbb{N}^2$ starting at $(0,0)$ and using steps in a prefixed subset $\mathfrak{S}$ of

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- ▷ Example with $n = 45, i = 14, j = 2$ for:

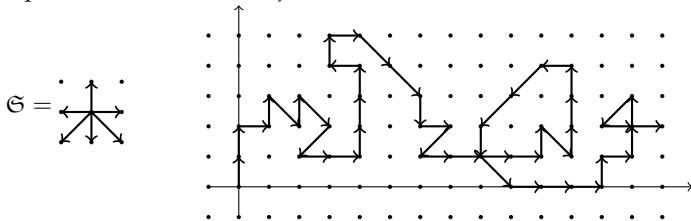


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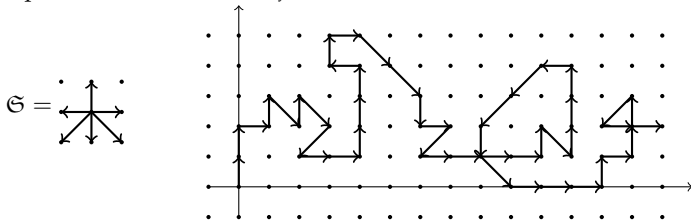
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- ▷ $f_{n;i,j}$ = number of walks of length n ending at (i,j) .
- ▷ Special, combinatorially meaningful specializations:
- $f_{n;0,0}$ counts walks returning to the origin, a.k.a. excursions;
 - $f_n = \sum_{i,j \geq 0} f_{n;i,j}$ counts walks with prescribed length.

▷ Complete generating series:

$$F(t; x, y) = \sum_{n=0}^{\infty} \left(\sum_{i,j=0}^{\infty} f_{n;i,j} x^i y^j \right) t^n \in \mathbb{Q}[x, y][[t]].$$

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Combinatorial questions: Given $\mathfrak{S}$, what can be said about $F(t; x, y)$, resp. $f_{n;i,j}$, and their variants?

- Algebraic nature of F : algebraic? transcendental?
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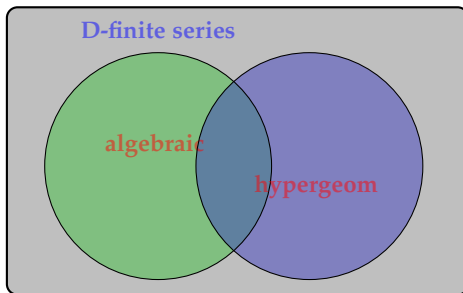
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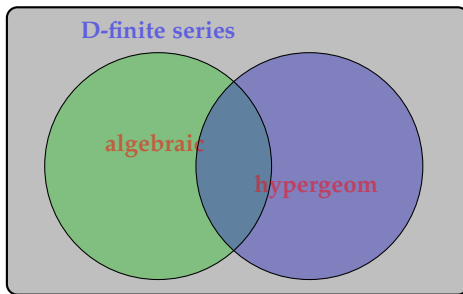
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Our goal: Use computer algebra to give computational answers.

Important Classes of Univariate Power Series

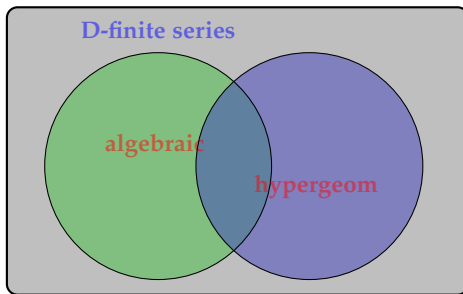


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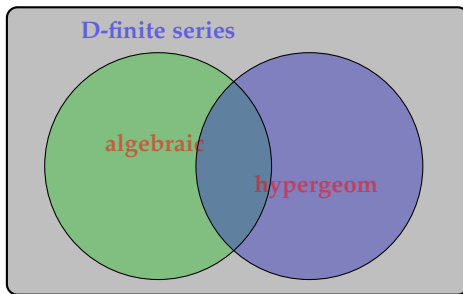
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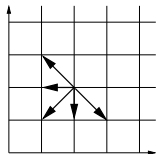
Hypergeometric: $S(t) = \sum_{n=0}^{\infty} s_n t^n$ such that $\frac{s_{n+1}}{s_n} \in \mathbb{Q}(n)$. E.g.,

$${}_2F_1\left(\begin{matrix} a & b \\ c \end{matrix} \middle| t\right) = \sum_{n=0}^{\infty} \frac{(a)_n (b)_n}{(c)_n} \frac{t^n}{n!}, \quad (a)_n = a(a+1) \cdots (a+n-1).$$

From the 2^8 step sets $\mathfrak{S} \subseteq \{-1, 0, 1\}^2 \setminus \{(0, 0)\}$, some are:

Small-Step Models of Interest

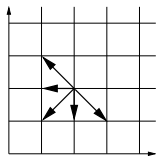
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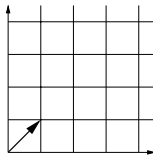
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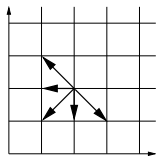
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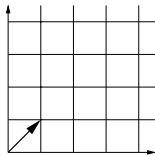
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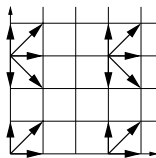
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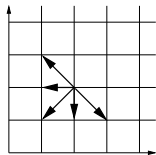
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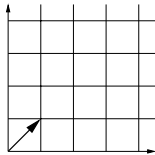
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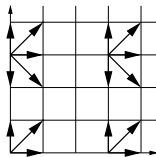
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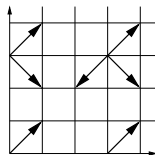
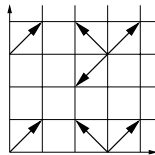
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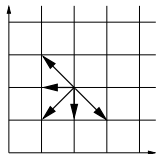
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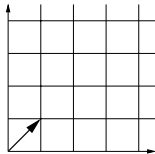
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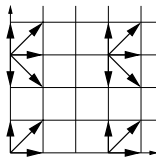
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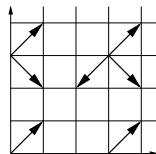
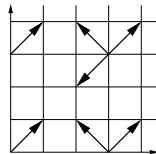
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One is left with [79 interesting distinct models](#).

Table of All Conjectured D-Finite $F(t; 1, 1)$ [Bostan & Kauers 2009]

	OEIS		alg	equiv		OEIS		alg	equiv
1	A005566		N	$\frac{4}{\pi} \frac{4^n}{n}$	13	A151275		N	$\frac{12\sqrt{30}}{\pi} \frac{(2\sqrt{6})^n}{n^2}$
2	A018224		N	$\frac{2}{\pi} \frac{4^n}{n}$	14	A151314		N	$\frac{\sqrt{6}\lambda\mu C^{5/2}}{5\pi} \frac{(2C)^n}{n^2}$
3	A151312		N	$\frac{\sqrt{6}}{\pi} \frac{6^n}{n}$	15	A151255		N	$\frac{24\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^2}$
4	A151331		N	$\frac{8}{3\pi} \frac{8^n}{n}$	16	A151287		N	$\frac{2\sqrt{2}A^{7/2}}{\pi} \frac{(2A)^n}{n^2}$
5	A151266		N	$\frac{1}{2} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{1/2}}$	17	A001006		Y	$\frac{3}{2} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{3/2}}$
6	A151307		N	$\frac{1}{2} \sqrt{\frac{5}{2\pi}} \frac{5^n}{n^{1/2}}$	18	A129400		Y	$\frac{3}{2} \sqrt{\frac{3}{\pi}} \frac{6^n}{n^{3/2}}$
7	A151291		N	$\frac{4}{3\sqrt{\pi}} \frac{4^n}{n^{1/2}}$	19	A005558		N	$\frac{8}{\pi} \frac{4^n}{n^2}$
8	A151326		N	$\frac{2}{\sqrt{3\pi}} \frac{6^n}{n^{1/2}}$					
9	A151302		N	$\frac{1}{3} \sqrt{\frac{5}{2\pi}} \frac{5^n}{n^{1/2}}$	20	A151265		Y	$\frac{2\sqrt{2}}{\Gamma(1/4)} \frac{3^n}{n^{3/4}}$
10	A151329		N	$\frac{1}{3} \sqrt{\frac{7}{3\pi}} \frac{7^n}{n^{1/2}}$	21	A151278		Y	$\frac{3\sqrt{3}}{\sqrt{2}\Gamma(1/4)} \frac{3^n}{n^{3/4}}$
11	A151261		N	$\frac{12\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^2}$	22	A151323		Y	$\frac{\sqrt{2}3^{3/4}}{\Gamma(1/4)} \frac{6^n}{n^{3/4}}$
12	A151297		N	$\frac{\sqrt{3}B^{7/2}}{2\pi} \frac{(2B)^n}{n^2}$	23	A060900		Y	$\frac{4\sqrt{3}}{3\Gamma(1/3)} \frac{4^n}{n^{2/3}}$

$$A = 1 + \sqrt{2}, B = 1 + \sqrt{3}, C = 1 + \sqrt{6}, \lambda = 7 + 3\sqrt{6}, \mu = \sqrt{\frac{4\sqrt{6}-1}{19}}$$

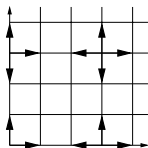
► Computerized discovery by enumeration + Hermite–Padé + LLL/PSLQ.

PROVE THIS TABLE!

- ▷ Human proof of D-finiteness/algebraicity for cases 1–22 in [Bousquet-Mélou & Mishna, 2010]:
 - based on averaging over a group of rational invariant transformations,
 - but implied algorithm too expensive to exhibit ODEs!
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- ▷ Human proofs of asymptotics $f_n \sim \kappa n^\alpha \rho^n$:
 - ρ for all cases in [Fayolle & Raschel, 2012];
 - (α, ρ) for cases 1–4, 17–23 (zero drift) using [Denisov & Wachtel, 2013];
 - (κ, α, ρ) for cases 1–4 (2 axes of sym.) in [Melczer & Mishna, 2014];
 - (κ, α, ρ) for cases 17–22 in [Bousquet-Mélou & Mishna, 2010];
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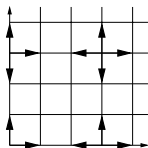
D-Finiteness via the Finite Group: an Example



$J = -\frac{1}{t} + \sum_{(i,j) \in \mathfrak{S}} x^i y^j = x + \frac{1}{x} + y + \frac{1}{y} - \frac{1}{t}$ is **invariant** under the change of (x, y) into, respectively:

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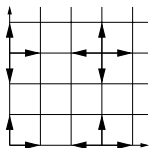
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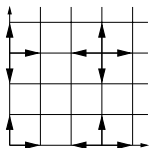
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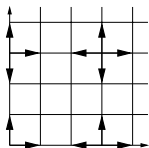
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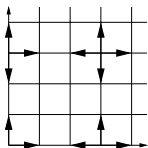
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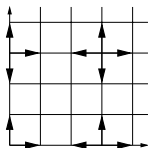
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Summing up yields:

$$\sum_{\theta \in \mathfrak{G}} (-1)^{\theta} \theta(xy t F(t; x, y)) = \frac{-xy + \frac{1}{x}y - \frac{1}{x}\frac{1}{y} + x\frac{1}{y}}{J(t; x, y)}$$

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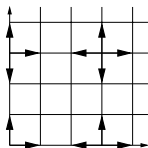
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$$\begin{aligned} J(t; x, y)xytF(t; x, y) &= txF(t; x, 0) + tyF(t; 0, y) - xy \\ -J(t; x, y)\frac{1}{x}y tF(t; \frac{1}{x}, y) &= -t\frac{1}{x}F(t; \frac{1}{x}, 0) - tyF(t; 0, y) + \frac{1}{x}y \\ J(t; x, y)\frac{1}{x}\frac{1}{y} tF(t; \frac{1}{x}, \frac{1}{y}) &= t\frac{1}{x}F(t; \frac{1}{x}, 0) + t\frac{1}{y}F(t; 0, \frac{1}{y}) - \frac{1}{x}\frac{1}{y} \\ -J(t; x, y)x\frac{1}{y} tF(t; x, \frac{1}{y}) &= -txF(t; x, 0) - t\frac{1}{y}F(t; 0, \frac{1}{y}) + x\frac{1}{y} \end{aligned}$$

Summing up yields:

$$[x^>][y^>] \sum_{\theta \in \mathcal{G}} (-1)^{\theta} \theta(xy t F(t; x, y)) = [x^>][y^>] \frac{-xy + \frac{1}{x}y - \frac{1}{x}\frac{1}{y} + x\frac{1}{y}}{J(t; x, y)}$$

D-Finiteness via the Finite Group: an Example



$J = -\frac{1}{t} + \sum_{(i,j) \in \mathfrak{S}} x^i y^j = x + \frac{1}{x} + y + \frac{1}{y} - \frac{1}{t}$ is invariant under the change of (x, y) into, respectively:

$$\left(\frac{1}{x}, y\right), \left(\frac{1}{x}, \frac{1}{y}\right), \left(x, \frac{1}{y}\right).$$

“Kernel equation”:

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Theorem [Bousquet-Mélou & Mishna, 2010]

Let $\mathfrak{S}$ be one of the step sets 1–19. Then, the invariant group $\mathcal{G}$ is finite and:

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TOO LARGE TO BE MERELY WRITTEN!

Explicit Expressions for the Cases 1–19

Theorem [Bostan-Chyzak-van Hoeij-Kauers-Pech, 2014]

Let $\mathfrak{S}$ be one of the step sets 1–19. Then, the generating series $F(t; x, y)$ is expressible using iterated integrals of ${}_2F_1$ expressions.

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Theorem [Bostan-Chyzak-van Hoeij-Kauers-Pech, 2014]

Let $\mathfrak{S}$ be one of the step sets 1–19. Then, the generating series $F(t; 1, 1)$ is expressible using iterated integrals of ${}_2F_1$ expressions.

Example: King walks in the quarter plane (A025595)

$$\begin{aligned} F(t; 1, 1) &= \frac{1}{t} \int_0^t \frac{1}{(1+4x)^3} \cdot {}_2F_1\left(\frac{3}{2}, \frac{3}{2} \middle| \frac{16x(1+x)}{(1+4x)^2}\right) dx \\ &= 1 + 3t + 18t^2 + 105t^3 + 684t^4 + 4550t^5 + 31340t^6 + 219555t^7 + \dots \end{aligned}$$

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▷ Proof uses **Creative telescoping**, **ODE factorization**, **ODE solving**:

- ① **(New)** If $R = \sum_{\theta} \frac{(-1)^{\theta} \theta(xy)}{J(t; x, y)}$, then $F = \text{Res}_u (\text{Res}_v H)$, for $H = \frac{R(t; 1/u, 1/v)}{(1-xu)(1-yv)}$.
- ② **(New)** If $P \in \mathbb{Q}(x, y)[t] \langle \partial_t \rangle$ and $U, V \in \mathbb{Q}(x, y, u, v, t)$ such that $L(H) = \partial_u U + \partial_v V$, then $L(F(t; x, y)) = 0$.
Use **creative telescoping** for finding L .
- ③ **Factor** L as $L_2 \cdot P_1 \cdots P_t$, where L_2 has order 2 and the P_i have order 1.
Solve L_2 in terms of ${}_2F_1$ s and deduce F .

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Use **creative telescoping** for finding L .

Works in practice with early evaluation $(x, y) = (1, 1)$, but not for symbolic (x, y) .

Works also for $(0, 0)$, $(x, 0)$, and $(0, y)$!

- ③ **Factor** L as $L_2 \cdot P_1 \cdots P_t$, where L_2 has order 2 and the P_i have order 1.

Solve L_2 in terms of ${}_2F_1$ s and deduce F .

For $F(t; x, y)$, run whole process for $F(t; 0, 0)$, $F(t; x, 0)$, and $F(t; 0, y)$, then
substitute into Kernel equation!

Hypergeometric Series Occurring in Explicit Expressions for $F(t; 1, 1)$

	hyp ₁	hyp ₂	w		hyp ₁	hyp ₂	w
1	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{1}{2} \\ 1 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{3}{2} \\ 2 \end{smallmatrix} \middle w\right)$	$16t^2$	10	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{9}{4} & \frac{11}{4} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{64(t^2+t+1)t^2}{(12t^2+1)^2}$
2	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{1}{2} \\ 1 \end{smallmatrix} \middle w\right)$		$16t^2$	11	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{3}{2} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{5}{2} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{16t^2}{4t^2+1}$
3	${}_2F_1\left(\begin{smallmatrix} \frac{3}{2} & \frac{3}{2} \\ 2 \end{smallmatrix} \middle w\right)$		$\frac{16t}{(2t+1)(6t+1)}$	12	${}_2F_1\left(\begin{smallmatrix} \frac{5}{4} & \frac{7}{4} \\ 1 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{5}{4} & \frac{7}{4} \\ 2 \end{smallmatrix} \middle w\right)$	$\frac{64t^3(2t+1)}{(8t^2-1)^2}$
4	${}_2F_1\left(\begin{smallmatrix} \frac{3}{2} & \frac{3}{2} \\ 2 \end{smallmatrix} \middle w\right)$		$\frac{16t(1+t)}{(1+4t)^2}$	13	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{64t^2(t^2+1)}{(16t^2+1)^2}$
5	${}_2F_1\left(\begin{smallmatrix} \frac{3}{4} & \frac{5}{4} \\ 1 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{5}{4} & \frac{7}{4} \\ 2 \end{smallmatrix} \middle w\right)$	$64t^4$	14	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{9}{4} & \frac{11}{4} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{64(t^2+t+1)t^2}{(12t^2+1)^2}$
6	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{64t^3(1+t)}{(1-4t^2)^2}$	15	${}_2F_1\left(\begin{smallmatrix} \frac{1}{4} & \frac{3}{4} \\ 1 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{3}{4} & \frac{5}{4} \\ 2 \end{smallmatrix} \middle w\right)$	$64t^4$
7	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{1}{2} \\ 1 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{1}{2} & \frac{3}{2} \\ 1 \end{smallmatrix} \middle w\right)$	$\frac{16t^2}{4t^2+1}$	16	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{9}{4} & \frac{11}{4} \\ 3 \end{smallmatrix} \middle w\right)$	$\frac{64t^3(1+t)}{(1-4t^2)^2}$
8	${}_2F_1\left(\begin{smallmatrix} \frac{5}{4} & \frac{7}{4} \\ 2 \end{smallmatrix} \middle w\right)$	${}_2F_1\left(\begin{smallmatrix} \frac{7}{4} & \frac{9}{4} \\ 2 \end{smallmatrix} \middle w\right)$	$\frac{64t^3(2t+1)}{(8t^2-1)^2}$	19	${}_2F_1\left(-\frac{1}{2} \frac{1}{2} \middle w\right)$	${}_2F_1\left(\frac{1}{2} \frac{1}{2} \middle w\right)$	$16t^2$
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Observation: Pairs of related hyps ${}_2F_1\left(\begin{smallmatrix} a & b \\ c \end{smallmatrix} \middle| w\right)$ with $m = c - (a + b) \in \mathbb{Z}$.

Theorem

- In cases 1–19, $F(t;x,y)$ is transcendental since $F(t;0,0)$ is.
- In cases 1–16 and 19, $F(t;1,1)$ is transcendental.
- Specific simplifications prove algebraicity of $F(t;1,1)$ in cases 17–18.

Proof: Define $G = (P_1 \cdots P_t)(F)$ so that $L_2(G) = 0$.

- F is algebraic $\implies G$ is algebraic.
- Computing a few coefficients of G shows that this is not 0 on all cases of interest.
- Applying Kovacic's algorithm to L_2 **decides** whether L_2 has nonzero algebraic solutions.

Local theory of D-finite functions $\longrightarrow$

Systematic method for coefficient asymptotics

(Flajolet and Odlyzko's singularity analysis)

$$f(z) = \sum_{n=0}^{\infty} f_n z^n \quad \longrightarrow \quad f_n \sim \dots$$

- Determine **dominant singularities** of the *complex-analytic function* f .
- Find **asymptotic expansion**

$$f(z) =_{z \rightarrow s} \sum_{\alpha, \gamma} c_{\alpha, \gamma} (s - z)^\alpha \left(\ln \frac{1}{s - z} \right)^\gamma \quad (1)$$

- **Syntactic transfer** into an asymptotic expansion for f_n

Three Formulas from (DLMF 15.8) on ${}_2F_1$ s

- ▷ To ensure that $c - a - b \in \mathbb{N}$: for $m \in \mathbb{N}$,

$${}_2F_1\left(\begin{matrix} a & b \\ a + b - m \end{matrix} \middle| z\right) = (1 - z)^{-m} {}_2F_1\left(\begin{matrix} a - m & b - m \\ (a - m) + (b - m) + m \end{matrix} \middle| z\right)$$

- ▷ To ensure bring $-\infty$ at 1: for $z < 1/2$,

$${}_2F_1\left(\begin{matrix} a & b \\ \frac{1}{2}(a + b + 1) \end{matrix} \middle| z\right) = (1 - 2z)^{-a} {}_2F_1\left(\begin{matrix} \frac{1}{2}a & \frac{1}{2}a + \frac{1}{2} \\ \frac{1}{2}(a + b + 1) \end{matrix} \middle| \frac{4z(z - 1)}{(1 - 2z)^2}\right)$$

- ▷ Local logarithmic behaviour at 1: for $m \in \mathbb{N}$, $z \in D(1, 1) \setminus [0, 1]$,

$${}_2F_1\left(\begin{matrix} a & b \\ a + b + m \end{matrix} \middle| z\right) =$$

polynomial of degree $m - 1$ in $1 - z$

+ term in $(1 - z)^m \ln(1 - z)$ + higher order terms

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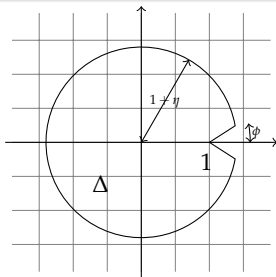
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$$\begin{aligned} {}_2F_1\left(\begin{matrix} a & b \\ a + b + m \end{matrix} \middle| z\right) = & \frac{1}{\Gamma(a + m)\Gamma(b + m)} \sum_{k=0}^{m-1} (-1)^k \frac{(a)_k (b)_k (m - k - 1)!}{k!} (1 - z)^k \\ & - (-1)^m \frac{(1 - z)^m}{\Gamma(a)\Gamma(b)} \sum_{k=0}^{\infty} \frac{(a + m)_k (b + m)_k}{k!(k + m)!} (1 - z)^k \left(\ln(1 - z) \right. \\ & \left. - \psi(k + 1) - \psi(k + m + 1) + \psi(a + k + m) + \psi(b + k + m) \right) \end{aligned}$$

Transfer Theorems [Flajolet & Odlyzko 1990]



For $f(z) = \sum_{n=0}^{\infty} f_n z^n$ analytic in $\Delta \setminus \{1\}$:

$f(z)$	f_n	assumptions
$O((1-z)^\alpha)$	$O(n^{-(\alpha+1)})$	$\alpha \in \mathbb{R}$
$o((1-z)^\alpha)$	$o(n^{-(\alpha+1)})$	$\alpha \in \mathbb{R}$
$\sim C(1-z)^\alpha$	$\sim \frac{C n^{-(\alpha+1)}}{\Gamma(-\alpha)}$	$\alpha \in \mathbb{R} \setminus \mathbb{N}$
$\sum_{j=0}^{m-1} c_j (1-z)^{\alpha_j} + O((1-z)^A)$	$\sum_{j=0}^{m-1} \frac{c_j n^{-(\alpha_j+1)}}{\Gamma(-\alpha_j)} + O(n^{-(A+1)})$	$\alpha_1 \leq \dots \leq \alpha_{m-1} < A$
$O((1-z)^\alpha (\ln(1-z))^{-1} \gamma)$	$O(n^{-(\alpha+1)} (\ln n)^\gamma)$	$\alpha, \gamma \in \mathbb{R}$
$o((1-z)^\alpha (\ln(1-z))^{-1} \gamma)$	$o(n^{-(\alpha+1)} (\ln n)^\gamma)$	$\alpha, \gamma \in \mathbb{R}$
$\sim C(1-z)^\alpha (\ln(1-z))^{-1} \gamma$	$\sim \frac{C n^{-(\alpha+1)} (\ln n)^\gamma}{\Gamma(-\alpha)}$	$\alpha, \gamma \in \mathbb{R} \setminus \mathbb{N}$
$\vdots$	$\vdots$	

One Example: $\boxtimes$ at $(1, 1)$

$$Q = \frac{1}{t} \int f \quad \text{for} \quad f = (1-2t)(1+2t)^{-3/2}(1+6t)^{-3/2} {}_2F_1\left(\begin{matrix} \frac{3}{2} & \frac{3}{2} \\ 2 \end{matrix} \middle| w\right)$$

where $w = \frac{16t}{(1+2t)(1+6t)}$

Singularities: $\frac{1}{2}, -\frac{1}{2}, -\frac{1}{6}, w = 1, w = \infty \rightarrow$ Dominant singularities $= \pm \frac{1}{6}$.

$$\begin{aligned} f(t) &\sim_{t \rightarrow \frac{1}{6}^-} \frac{\sqrt{6}}{\pi} (1-6t)^{-1} &\longrightarrow \frac{\sqrt{6}}{\pi} 6^n \\ f(t) &\sim_{t \rightarrow -\frac{1}{6}^+} \frac{\sqrt{6}}{4\pi} \ln(1+6t) &\longrightarrow \frac{\sqrt{6}}{4\pi} \frac{6^n}{n} \end{aligned}$$

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$$\frac{1}{t} \int f \quad \longrightarrow \quad f_n \sim \frac{\sqrt{6}}{\pi} \frac{6^n}{n+1}$$

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Summary: three kinds of conjectures now proved:

- differential operators that witness D-finiteness,
- algebraic vs transcendental nature of series,
- asymptotics of coefficients (in progress).