

# Longest Common Rollercoasters

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# Background

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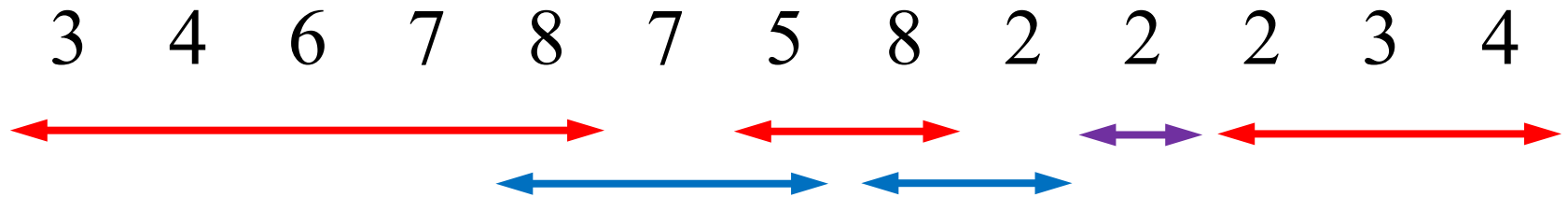
- The Longest Common Subsequence (LCS) Problem is an important problem that appears in various fields.
- Since 2018, the study on the sequence called rollercoaster has been conducted [Biedl et al., 2018].
- Longest Common Rollercoaster is natural extension of LCS.

# Run

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Definition [Biedl et al., 2018]

A substring is a *run*, if it is a maximal strictly increasing (*+run*) or a maximal strictly decreasing (*--run*) substring.



↔ : *+run*

↔ : *--run*

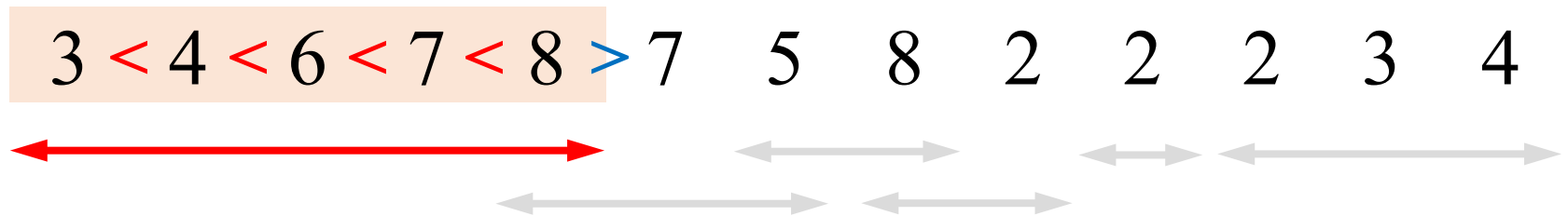
↔ : both *+run* and *--run*

# Run

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Definition [Biedl et al., 2018]

A substring is a *run*, if it is a maximal strictly increasing (*+run*) or a maximal strictly decreasing (*--run*) substring.



↔ : +-run

↔ : --run

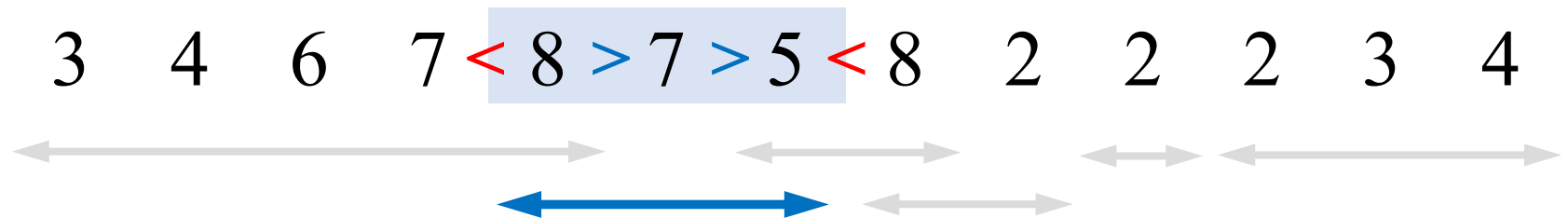
↔ : both +-run and --run

# Run

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Definition [Biedl et al., 2018]

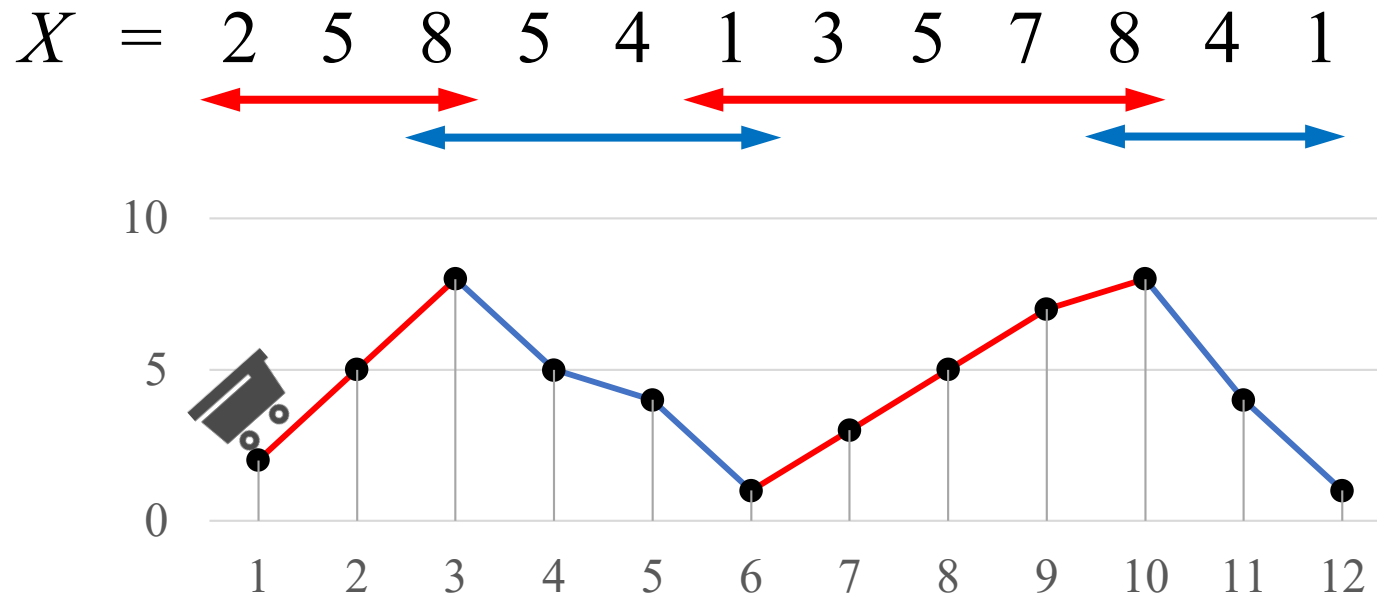
A substring is a *run*, if it is a maximal strictly increasing (*+run*) or a maximal strictly decreasing (*--run*) substring.



# $k$ -rollercoaster

Definition [Biedl et al., 2018]

A string  $X$  is a  $k$ -rollercoaster if any run in  $S$  is of length at least  $k$ .



$X$  is 3-rollercoaster

# Previous work on $k$ -rollercoasters

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## Longest $k$ -Rollercoaster problem

Input :

String  $X$  of length  $n$  and  
Positive integer  $k$ .

Output :

Longest  $k$ -rollercoaster that is a subsequence of  $X$ .

- $O(nk \log n)$  time [Biedl et al., 2018]
- $O(\min\{nk^2, n \log^2 n\})$  time [Gawrychowski et al., 2019]

# Our Problem

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Longest Common  $k$ -Rollercoaster problem

Input :

String  $S$  of length  $n$ ,

String  $T$  of length  $m$  ( $\leq n$ ),

Positive integer  $k$

Output :

Longest  $k$ -rollercoaster that is a subsequence  
of both  $S$  and  $T$ .

When  $k = 1$ , then this problem is equivalent to LCS.

So, this problem is a generalization of LCS.

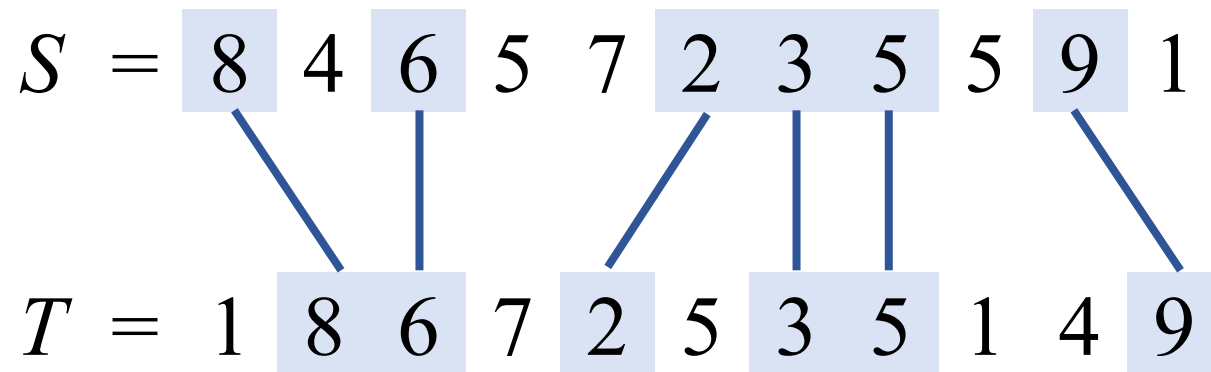
In the following, I talk about the case where  $k \geq 2$ .



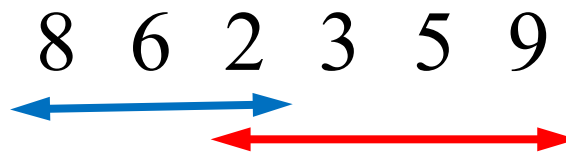
# Longest common $k$ -rollercoaster

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When  $k = 3$ ,



Longest common 3-rollercoaster subsequence of  $S$  and  $T$  is



# Our Contribution

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Theorem 1.

A longest common  $k$ -rollercoaster of  $S$  and  $T$  can be computed in  $O(nmk)$  time and space.

Theorem 2.

A longest common  $k$ -rollercoaster of  $S$  and  $T$  can be computed in  $O(rk \log^3 m \log \log m)$  time and  $O(rk)$  space.

$r$  : the number of pairs  $(i, j)$  s.t.  $S[i] = T[j]$

When  $S$  and  $T$  are random strings over  $\{1, \dots, \sigma\}$ , then the expected value of  $r$  is  $nm / \sigma$ .

→ Theorem 2 is expected to be more space-efficient than Theorem 1.

# Proof of Theorem 1

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Theorem 1.

A longest common  $k$ -rollercoaster of  $S$  and  $T$  can be computed in  $O(nmk)$  time and space.

Idea :

We use dynamic programming on  $S$  and  $T$ .

In our dynamic programming algorithm, we use  $(k, h)_w$ -rollercoaster subsequences which are generalization of  $k$ -rollercoaster subsequences.

# $(k, h)_w$ -rollercoaster

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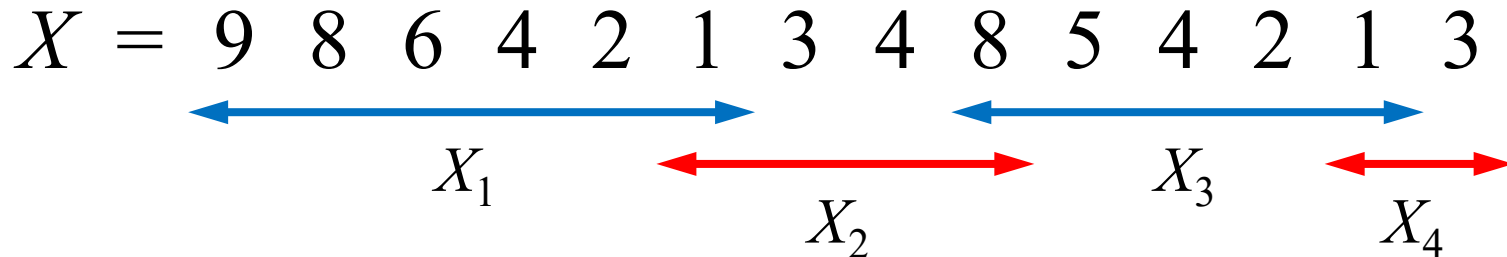
Definition [Biedl et al., 2018]

For an integer string  $X$ , let  $X_1, X_2, \dots, X_x$  be the sequence of runs in  $X$  ordered by their occurrence in  $X$ . For  $w \in \{+, -\}$  and integer  $h \in [1, k]$ ,  $X$  is a  **$(k, h)_w$ -rollercoaster** if  $X_1, X_2, \dots, X_x$  satisfies the following

1. The last run  $X_x$  is  $w$ -run.
2.  $|X_i| \geq k$  for  $i \in [1, x - 1]$ .
3. If  $h \in [1, k - 1]$ ,  $|X_i| = h$ , and  $|X_i| \geq k$  otherwise.

# Example of $(k, h)_+$ -rollercoaster

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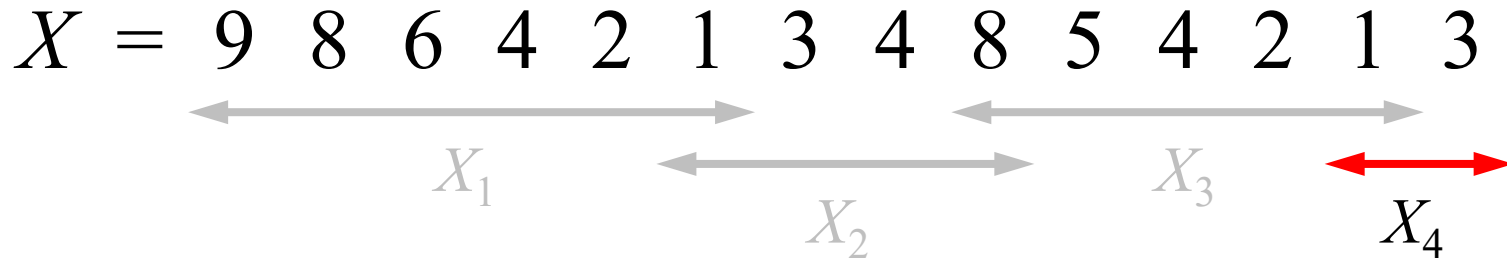
$X$  is  $(4, 2)_+$ -rollercoaster

1. The last run  $X_4$  is +-run
2.  $|X_1|, |X_2|, |X_3| \geq 4$
3.  $h = 2$  and  $|X_4| = 2$

1. The last run  $X_x$  is +-run
2.  $|X_i| \geq k$  for  $i \in [1, x - 1]$
3. If  $h \in [1, k - 1]$ ,  $|X_i| = h$ , and  $|X_i| \geq k$  otherwise

# Example of $(k, h)_+$ -rollercoaster

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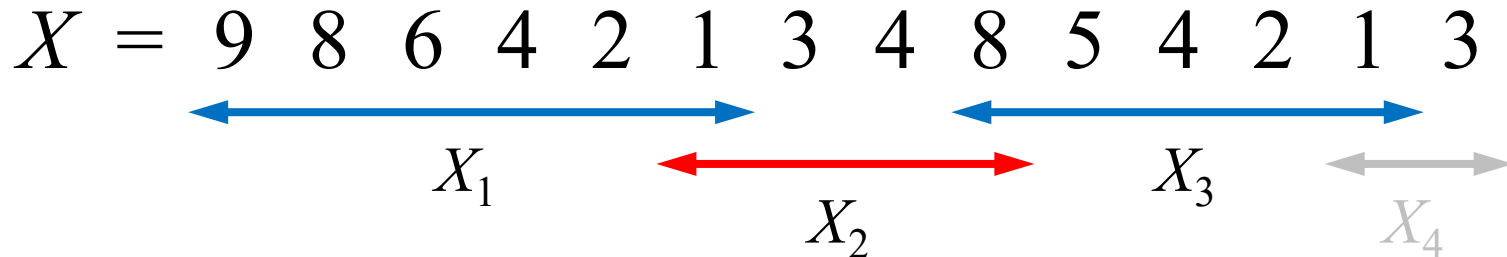
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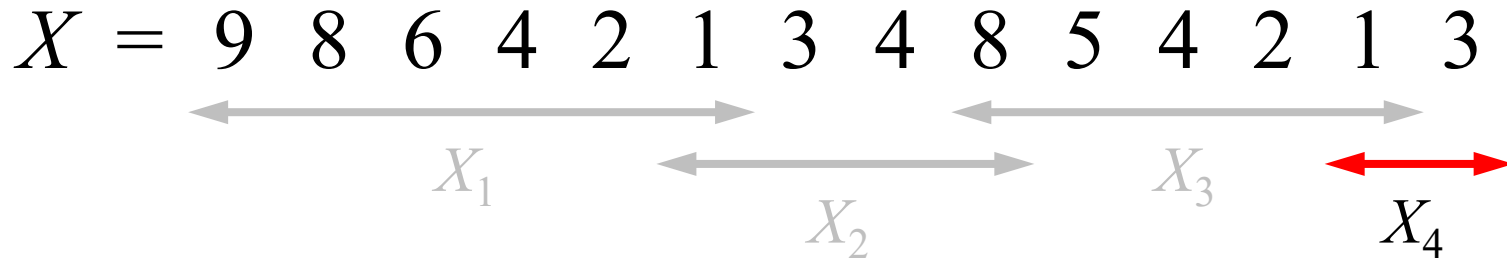
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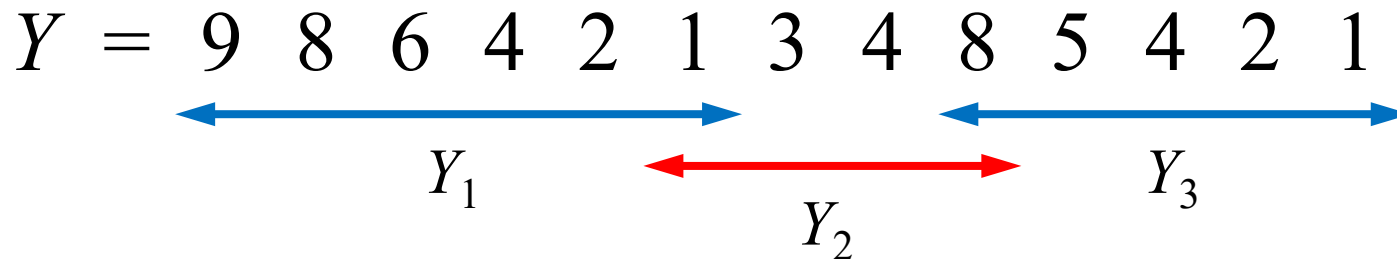
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# Example of $(k, h)$ -rollercoaster

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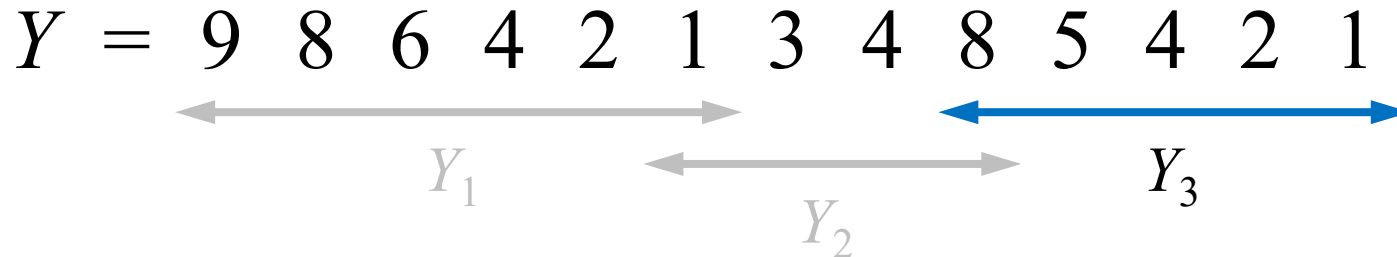
$Y$  is  $(4, 4)$ -rollercoaster

1. The last run  $Y_3$  is --run
2.  $|Y_1|, |Y_2| \geq 4$
3.  $h = 4$  and  $|Y_3| \geq 4$

1. The last run  $X_x$  is --run
2.  $|X_i| \geq k$  for  $i \in [1, x - 1]$
3. If  $h \in [1, k - 1]$ ,  $|X_i| = h$ , and  $|X_i| \geq k$  otherwise

# Example of $(k, h)$ -rollercoaster

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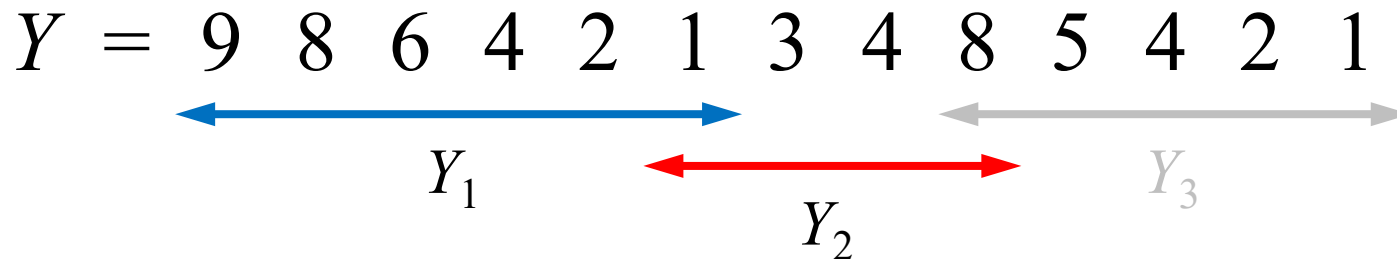
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# Example of $(k, h)$ -rollercoaster

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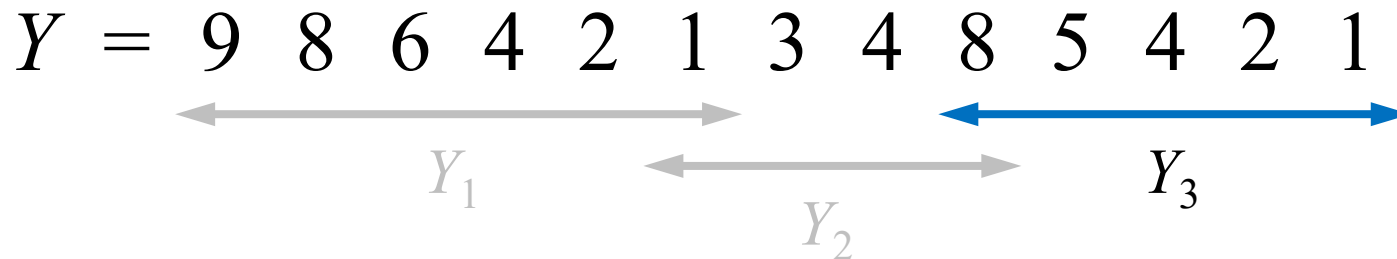
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# Example of $(k, h)$ -rollercoaster

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$Y$  is  $(4, 4)$ -rollercoaster

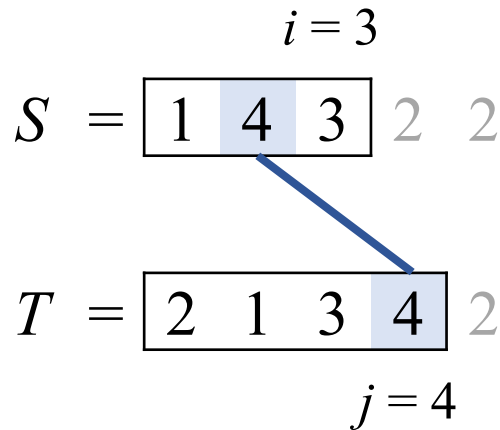
1. The last run  $Y_3$  is --run
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1. The last run  $X_x$  is --run
2.  $|X_i| \geq k$  for  $i \in [1, x - 1]$
3. If  $h \in [1, k - 1]$ ,  $|X_i| = h$ , and  **$|X_i| \geq k$  otherwise**

# Dynamic Programming

For  $w \in \{+, -\}$ ,  $h \in [1, k]$ ,  $i \in [1, n]$ ,  $j \in [1, m]$ ,  
 $L_w^h[i, j]$  = the length of longest common  $(k, h)_w$ -rollercoaster  
 subsequence of  $S[1..i]$  and  $T[1..j]$  that ends with  $T[j]$ .

$k = 2$



$L_+^1$		$j$				
		1	2	3	4	5
$i$	1	0	1	0	0	0
	2	0	1	0	1	0
	3	0	1	1	1	0
	4	1	1	1	1	1
	5	1	1	1	1	1

$L_+^1$

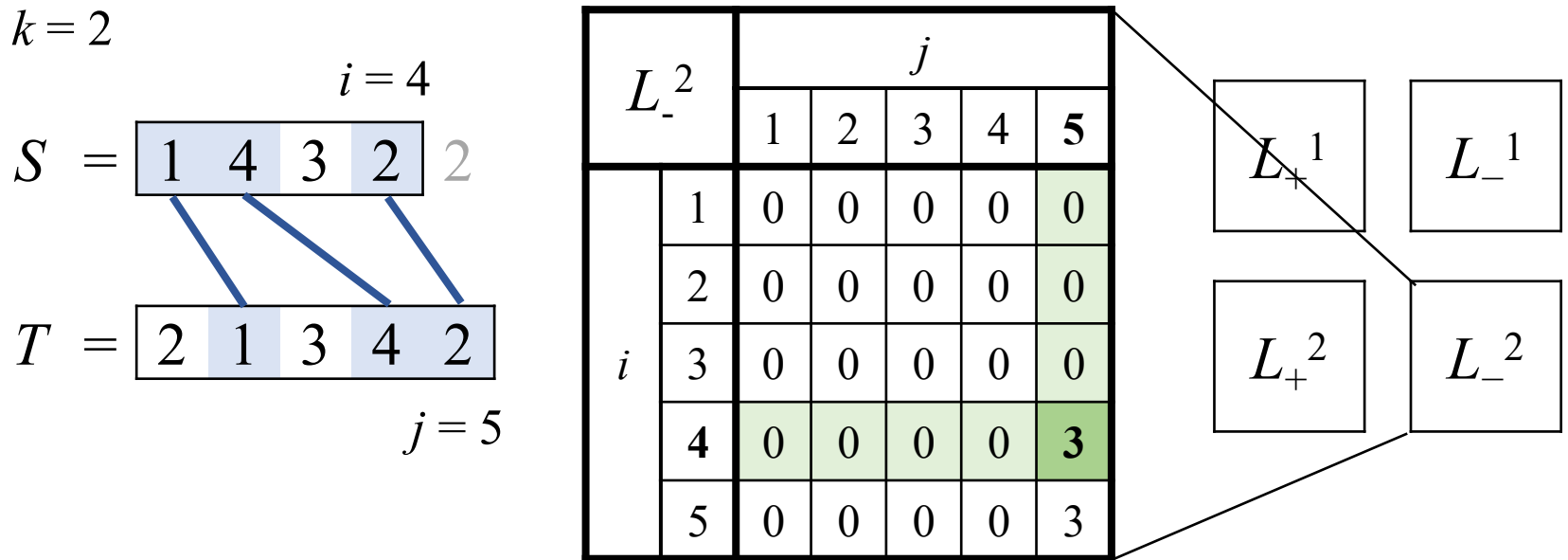
$L_-^1$

$L_+^2$

$L_-^2$

# Dynamic Programming

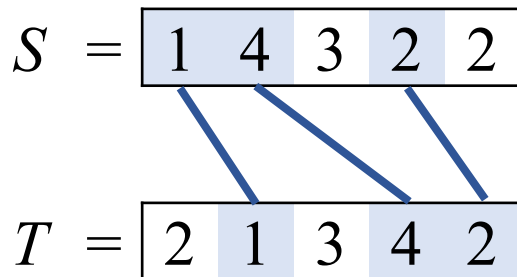
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# Dynamic Programming

For  $w \in \{+, -\}$ ,  $h \in [1, k]$ ,  $i \in [1, n]$ ,  $j \in [1, m]$ ,  
 $L_w^h[i, j]$  = the length of longest common  $(k, h)_w$ -rollercoaster  
of  $S[1..i]$  and  $T[1..j]$  that ends with  $T[j]$ .

The length of longest common  $k$ -rollercoaster of  $S$  and  $T$  is  
 $\max \{L_w^k[n, j] \mid w \in \{+, -\}, j \in [1, m]\}$



$L_+^2$		$j$				
		1	2	3	4	5
$i$	1	0	0	0	0	0
	2	0	0	0	2	0
	3	0	0	2	2	0
	4	0	0	2	2	2
	5	0	0	2	2	2

$L_-^2$		$j$				
		1	2	3	4	5
$i$	1	0	0	0	0	0
	2	0	0	0	0	0
	3	0	0	0	0	0
	4	0	0	0	0	3
	5	0	0	0	0	3

# Recurrence for $L_w^h[i, j]$

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Consider the case for  $w = +$ .

The case for  $w = -$  can be shown in a symmetric fashion.

Consider the following cases.

1.  $S[i] \neq T[j]$
2.  $S[i] = T[j]$  and  $h = 1$
3.  $S[i] = T[j]$  and  $h \in [2, k - 1]$
4.  $S[i] = T[j]$  and  $h = k$

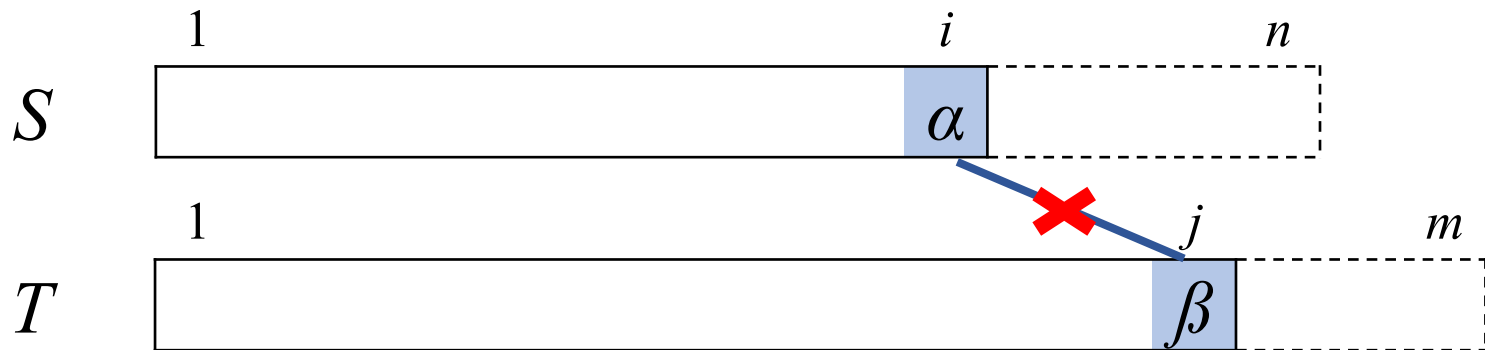


# 1. $S[i] \neq T[j]$

For any  $h \in [1, k]$

$$L_+^h[i, j] = L_+^h[i - 1, j]$$

$L_+^h[i, j]$  = the length of longest common  $(k, h)_+$ -rollercoaster of  $S[1..i]$  and  $T[1..j]$  that ends with  $T[j]$ .



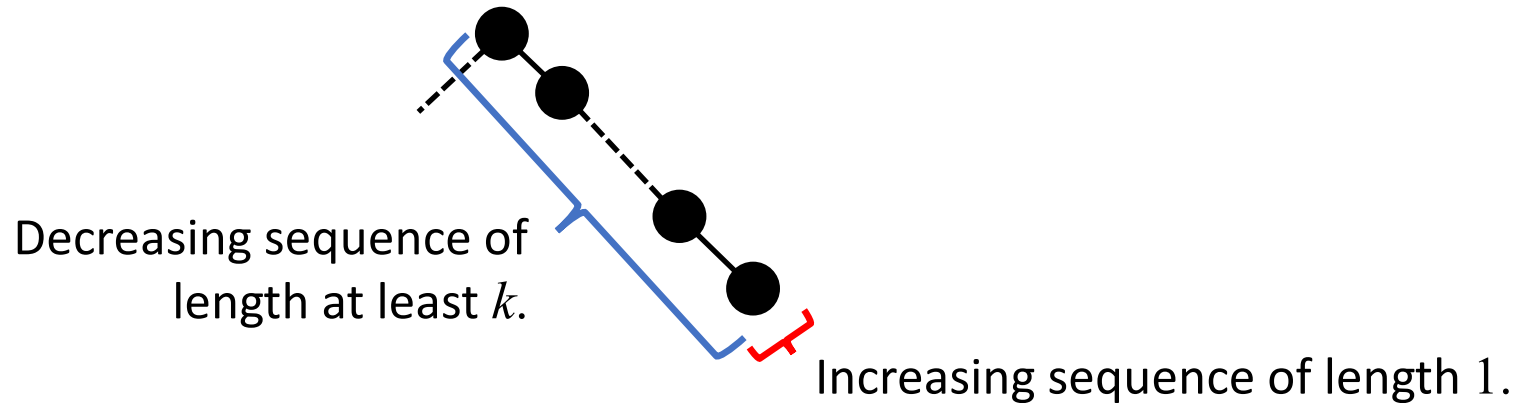
## 2. $S[i] = T[j]$ and $h = 1$

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$$L_+^1[i, j] = \begin{cases} L_-^k[i, j] & \text{if } L_-^k[i, j] \neq 0, \\ 1 & \text{otherwise.} \end{cases}$$

Any  $(k, 1)_+$ -rollercoaster is either

- a  $(k, k)_-$ -rollercoaster subsequence, or
- a sequence of length 1.



### 3. $S[i] = T[j]$ and $h \in [2, k - 1]$

$M_+^h[i, j]$  = the length of longest common  $(k, h)_+$ -rollercoaster of  $S[1..i]$  and  $T[1..j - 1]$  that ends with an element which is less than  $T[j]$

$k = 3$

$i = 4$

$S = [1, 4, 3, 4] 2$

$T = [2, 1, 3] 4 2$

$j = 4$

$M_+^2$		$j$				
		1	2	3	4	5
$i$	1	0	0	1	1	1
	2	0	0	1	1	1
	3	0	0	1	2	1
	4	0	0	1	2	1
	5	0	0	1	2	1

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i, j] + 1 & \text{if } M_+^{h-1}[i, j] \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

# Algorithm for Case 3

---

$M_+^{h-1}[i, j]$  = the length of longest common  $(k, h - 1)_+$ -rollercoaster of  $S[1..i]$  and  $T[1..j - 1]$  that ends with an element that is less than  $T[j]$

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i - 1, j] + 1 & \text{if } M_+^{h-1}[i - 1, j] \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

$L_+^{h-1}$		0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
$\vdots$															
$i - 1$		0				5		3			7			...	
$\vdots$															

$S[i] > T[j]$

$L_+^h$		0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
$\vdots$															
$i$		0			?					?			?	...	
$\vdots$															

$S[i] = T[j]$

# Algorithm for Case 3

---

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

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$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			?					?			?	...	
⋮														

$S[i] = T[j]$

# Algorithm for Case 3

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$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5	3				7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			?					?			?	...	
⋮														

$S[i] = T[j]$

# Algorithm for Case 3

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

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$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0			5	3			7					...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0		0					?			?		...	
⋮														

$S[i] = T[j]$

$$M_+^{h-1}[i, 3] = 0$$

# Algorithm for Case 3

---

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

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$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

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$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			0					?			?	...	
⋮														

$S[i] = T[j]$



# Algorithm for Case 3

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$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
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$i$	0			0					?			?	...	
⋮														

$S[i] = T[j]$

# Algorithm for Case 3

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i-1, j] + 1 & \text{if } M_+^{h-1}[i-1, j] \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			0					6			?	...	
⋮														

$S[i] = T[j]$

$$M_+^{h-1}[i, 8] = 5$$

# Algorithm for Case 3

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i-1, j] + 1 & \text{if } M_+^{h-1}[i-1, j] \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			0					6			?	...	
⋮														

$S[i] = T[j]$

# Algorithm for Case 3

$$M_+^{h-1}[i, j] = \begin{cases} \text{maximum of the blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \\ 0 \text{ if there are no blue cells in row } i-1 \text{ and columns } 1, 2, \dots, j-1 \text{ in } L_+^{h-1} \end{cases}$$

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i-1, j] + 1 & \text{if } M_+^{h-1}[i-1, j] \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

$L_+^{h-1}$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i-1$	0				5		3			7			...	
⋮														

$S[i] > T[j]$

$L_+^h$

	0	1	2	3	4	5	6	7	8	9	10	11	...	$m$
⋮														
$i$	0			0					6			8	...	
⋮														

$S[i] = T[j]$

$$M_+^{h-1}[i, 11] = 7$$

## 4. $S[i] = T[j]$ and $h = k$

---

In a similar way to Case 3, we obtain the following:

$$L_+^k[i, j] = \begin{cases} \max \{M_+^{k-1}[i, j], M_+^k[i, j]\} + 1 & \text{if } \max \{M_+^{k-1}[i, j], M_+^k[i, j]\} \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

# Recurrence for $L_+^h[i, j]$

---

When  $h = 1$ ,

$$L_+^1[i, j] = \begin{cases} L_-^k[i, j] & \text{if } S[i] = T[j] \text{ and } L_-^k[i, j] \neq 0, \\ 1 & \text{if } S[i] = T[j] \text{ and } L_-^k[i, j] = 0, \\ L_+^1[i - 1, j] & \text{otherwise.} \end{cases}$$

When  $2 \leq h \leq k - 1$ ,

$$L_+^h[i, j] = \begin{cases} M_+^{h-1}[i, j] + 1 & \text{if } S[i] = T[j] \text{ and } M_+^{h-1}[i, j] \neq 0, \\ 0 & \text{if } S[i] = T[j] \text{ and } M_+^{h-1}[i, j] = 0, \\ L_+^h[i - 1, j] & \text{otherwise.} \end{cases}$$

When  $h = k$ ,

$$L_+^k[i, j] = \begin{cases} \max\{M_+^{k-1}[i, j], M_+^k[i, j]\} + 1 & \text{if } S[i] = T[j] \text{ and } \max\{M_+^{k-1}[i, j], M_+^k[i, j]\} \neq 0, \\ 0 & \text{if } S[i] = T[j] \text{ and } \max\{M_+^{k-1}[i, j], M_+^k[i, j]\} = 0, \\ L_+^k[i - 1, j] & \text{otherwise.} \end{cases}$$

# Retrieve

$$k = 3$$

$$S = \boxed{8 \ 4 \ 6 \ 2 \ 7}$$


$$T = \boxed{1 \ 8 \ 6 \ 7 \ 2}$$

Calculate  $L_+^1[5, 5]$

$S[5] \neq T[5]$ , so we adapt case 1

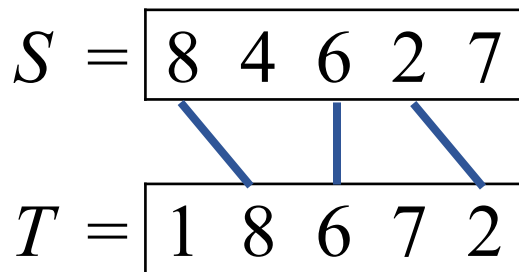
$$L_+^1[5, 5] = L_+^1[4, 5] = 3$$

$L_+^1$		$j$				
		1	2	3	4	5
$i$	1	0	1	0	0	0
	2	0	1	0	0	0
	3	0	1	1	0	0
	4	0	1	1	0	3
	5	0	1	1	1	3

$L_-^3$		$j$				
		1	2	3	4	5
$i$	1	0	0	0	0	0
	2	0	0	0	0	0
	3	0	0	0	0	0
	4	0	0	0	0	3
	5	0	0	0	0	3

# Retrieve

$$k = 3$$



Calculate  $L_+^1[5, 5]$

$S[5] \neq T[5]$ , so we adapt case 1

$$L_+^1[5, 5] = L_+^1[4, 5] = 3$$

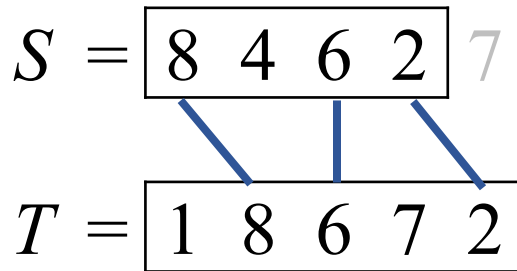
$L_+^1$		$j$				
		1	2	3	4	5
$i$	1	0	1	0	0	0
	2	0	1	0	0	0
	3	0	1	1	0	0
	4	0	1	1	0	3
	5	0	1	1	1	<b>3</b>

$L_-^3$		$j$				
		1	2	3	4	5
$i$	1	0	0	0	0	0
	2	0	0	0	0	0
	3	0	0	0	0	0
	4	0	0	0	0	3
	5	0	0	0	0	3



# Retrieve

$$k = 3$$



Calculate  $L_+^1[4, 5]$

$S[5] = T[5]$ , so we adapt case 2

$$L_+^1[4, 5] = L_-^3[4, 5] = 3$$

$L_+^1$		$j$				
		1	2	3	4	5
$i$	1	0	1	0	0	0
	2	0	1	0	0	0
	3	0	1	1	0	0
	4	0	1	1	0	3
	5	0	1	1	1	3

$L_-^3$		$j$				
		1	2	3	4	5
$i$	1	0	0	0	0	0
	2	0	0	0	0	0
	3	0	0	0	0	0
	4	0	0	0	0	3
	5	0	0	0	0	3



# Complexity of our algorithm

---

1. Initialize  $L_+^1, \dots, L_+^k, L_-^1, \dots, L_-^k$  to 0

$O(nmk)$  time and space

2. For  $i = 1, \dots, n$ ,

a. For  $h = 2, \dots, k$ , compute  $L_+^h, L_-^h$

b. Compute  $L_+^k, L_-^k$

c. Compute  $L_+^1, L_-^1$

$O(nmk)$  time

3. Compute  $\max \{L_w^k[n, j] \mid w \in \{+, -\}, j \in [1, m]\}$

$O(m)$  time

4. Retrieve longest common  $k$ -rollercoaster

$O(m)$  time and space

# Conclusions

---

Theorem 1.

A longest common  $k$ -rollercoaster of  $S$  and  $T$  can be computed in  $O(nmk)$  time and space.

Theorem 2.

A longest common  $k$ -rollercoaster of  $S$  and  $T$  can be computed in  $O(rk \log^3 m \log \log m)$  time and  $O(rk)$  space.

$r$  : the number of pairs  $(i, j)$  s.t.  $S[i] = T[j]$