# Grammar Index By Induced Suffix Sorting

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## **Grammar Compression**

• Given input text *T*, **Grammar Compression** is a context-free grammar that produces only the original text *T*.

### $T = \begin{bmatrix} 2 & 0 \end{bmatrix} \begin{bmatrix} 2 & 0 \end{bmatrix} \begin{bmatrix} 1 & 2 & 2 \end{bmatrix} \begin{bmatrix} 2 & 0 \end{bmatrix} \begin{bmatrix} 1 & 2 & 0 \end{bmatrix}$

## **Grammar Compression**

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Non-terminal symbols



Production rules

$$3 \rightarrow 2 \ 0$$
  
$$4 \rightarrow 1 \ 2 \ 0$$

## **Grammar Compression**

• Given input text *T*, **Grammar Compression** is a context-free grammar that produces only the original text *T*.



Production rules  $3 \rightarrow 2 \ 0$   $4 \rightarrow 1 \ 2 \ 0$  $5 \rightarrow 3 \ 3 \ 1 \ 2 \ 3 \ 4 \ 4$ 

## Setting

Grammar-index



We use grammar compression GCIS [Nunes et al. 18], which is based on the suffix sorting algorithm SAIS.

We propose a new compressed index called GCIS-index and show how to locate all pattern occurrences in T.

## GCIS [Nunes et al. 18] (1/3)

GCIS is built by recursively factorizing the text and substituting factors with non-terminals.



• For every text position *i* with  $1 \le i \le |T\#|$ :

- 1. Type in T[|T#|] is S.
- 2. Type in T[i] is **S** if T[i] < T[i + 1].
- 3. Type in T[i] is L if T[i] > T[i + 1].
- 4. Type in T[i] is the type in T[i + 1] if T[i] = T[i + 1].

# L S S L S L S S L S L S L S T # = 3 1 1 3 2 3 1 1 3 2 3 #

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# 

## LMS position

- We call position *i* LMS position if:
  - 1. T[i] is S but T[i 1] is not S, or
  - 2. i = 1.



## GCIS [Nunes et al. 18] (2/3)

- 1. Partition  $T_i$  at LMS positions into factors.
- 2. Sort all factors and replace them in the text with symbols reflecting their lexicographic order.
- 3. These new symbols induce a new string  $T_{i+1}$  we recurse on.

rules

## GCIS [Nunes et al. 18] (2/3)

- Example for recursion
- When all factors differ, terminate by setting the right-hand side of the start symbol to the remaining string.

L S S L S L S S L S L S  $4 \rightarrow 113$  $T \# = T_1 \# = 3 \ 1 \ 1 \ 3 \ 2 \ 3 \ 1 \ 1 \ 3 \ 2 \ 3 \ \# \ \left| \begin{array}{c} 5 \rightarrow 2 \ 3 \\ \end{array} \right|$  $6 \rightarrow 3$  $| 7 \rightarrow 45$  $T_2 \# = 6$ 4 5 5 # 4  $8 \rightarrow 6$  $9 \rightarrow 877$  $T_3 # = 8$ 7 #

Start Symbol

rules

## GCIS [Nunes et al. 18] (3/3)

- Example for recursion
- When all factors differ, terminate by setting the right-hand side of the start symbol to the remaining string.

 $\begin{array}{ccc}
4 & \rightarrow & 1 & 1 \\
g_{gcis}: \text{ The total lengths of all right-hand sides}} & 5 & \rightarrow & 2 & 3 \\
\text{in GCIS-grammar} & 6 & \rightarrow & 3 \\
s_{gcis}: \text{ The number of non-terminals}} & 8 & \rightarrow & 6 \\
\end{array}$ 

*s<sub>gcis</sub>*: The number of non-termina in GCIS-grammar

rules

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## Local consistency in GCIS

We showed the following:

Any occurrence of pattern P in T is parsed in the same way except for the <u>first factor and the last two factors</u>.

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### Pattern matching with GCIS-index

- 1. Construct core from *P*
- 2. Find all core occurrences in grammar
- 3. Find primary occurrence candidates
- 4. Verify primary occurrence candidates
- 5. Find secondary occurrences

### Pattern matching with GCIS-index

- 1. Construct core from *P*
- $\implies O(m \log s_{acis})$ with GST Find all core occurrences in grammar 2.  $\implies O(m \log s_{acis})$ with GST Find primary occurrence candidates 3.  $\implies occ_{acis} * O(\log n \log s_{acis})$ with GST 4. Verify primary occurrence candidates  $\implies O(m + occ_{acis} \log m)$ with LCE Find secondary occurrences 5.

Total Time :  $O(m \log s_{gcis} + occ_{gcis} \log n \log s_{gcis} + occ)$ 

 $\implies 0(occ)$ 

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with Jump Pointer

#### Indexes with Local Consistency Grammars <sup>11</sup>

#### [Pattern locating time]

GCIS-index (This work)	$O(m \log s_{gcis} + occ_{gcis} \log n \log s_{gcis} + occ)$ $O((m \log g_{esp} + occ_{esp} \log m \log n) \log^* n)$				
ESP-index (Maruyama et al.13)					
LMS based self-index (Díaz-Domínguez et al. 21)	$O\left((m\log m + occ)\log g_{gcis}\right)$				
Optimal dictionary- compressed index (Christiansen et al. 20)	O(m + occ)				

 $occ_{gcis}$  : # of occurrences of core in GCIS-indexm : pattern length $occ_{esp}$  : # of occurrences core in ESP-indexn : uncompressed text lengthocc : # of occurrences of patterns $g_{esp}$  : grammar size (ESP-index

 $g_{esp}$  : grammar size (ESP-index)  $g_{gcis}$  : grammar size (GCIS)  $s_{gcis}$  : # of non-terminals (GCIS)

#### Indexes with Local Consistency Grammars <sup>12</sup>

#### [Index size] (bits)

GCIS-index (This work)	$O(g_{gcis} \log n)$				
ESP-index (Maruyama et al.13)	$(1 + \varepsilon)g_{esp}\log g_{esp} + 4g_{esp} + o(g_{esp})$				
LMS-based self-index (Díaz-Domínguez et al. 21)	$g_{gcis} \log n + (2 + \varepsilon) g_{gcis} \log g_{gcis}$				
Optimal dictionary- compressed index (Christiansen et al. 20)	$O(\gamma \log(n/\gamma) \log^{(1+\epsilon)} n)$				

 $\epsilon$  :  $0 < \epsilon < 1$ 

 $\gamma$  : smallest string attractor size

*n* : uncompressed text length *g*<sub>esp</sub> : grammar size (ESP-index) *g*<sub>gcis</sub> : grammar size (GCIS)

## **Computing Pattern Core**



## Pattern Core (1/2)

When we compute the core of pattern P, we use the same non-terminals as in the GCIS for T.

## Pattern Core (2/2)

When the number of factors is less than 3, divide the string into 3 parts A B C.



## Generalized Suffix Tree (GST)

- Suffix Tree of concatenation of the right sides of all production rules.
- For a string B, GST can report all non-terminals having B on their right-hand sides in O(|B| log s<sub>gcis</sub>) time.



## Primary Occurrence Candidates <sup>17</sup>

- The symbol whose right-hand side contains an occurrence of B might be shorter than the pattern length.
  - $\rightarrow$  climb up the grammar tree to the lowest symbol whose expansion is long enough to contain *P*



### Verify Primary Occurrence Candidates <sup>18</sup>

- 1. Expand the left & right neighboring symbols of the candidate
- 2. Check whether they match the remaining part of *P*
- 3. Apply recursively

 $\Rightarrow O(m \ occ_{gcis})$  time with simple approach



## Longest Common Extension

- Longest Common Extension (LCE) query returns longest common prefix of two suffixes.
- Answer in O(1) time by using GST

《GCIS-grammar》

:  $X \to 1 \ 3 \ 3 \ 3 \ 2 \ 1$   $Y \to 1 \ 3 \ 2 \ 1$ :

$$LCE((X, 4), (Y, 2)) = 3$$
$$X \to 1 \ 3 \ 3 \ 2 \ 1$$
$$Y \to 1 \ 3 \ 2 \ 1$$

 $\Rightarrow$  LCE speeds up verification of all primary occurrence candidates

 $O(m \ occ_{gcis})$  time  $\longrightarrow O(m + occ_{gcis} \log m)$  time

## Find Secondary Occurrences

Find secondary occurrences from each primary occurrence  $\rightarrow$  We can find all occurrences of *P* in O(occ) time by application of technique of [Claude and Navarro 12].



### Pattern matching with GCIS-index :Recap <sup>21</sup>

1. Construct core from *P* 



Total Time :  $O(m \log s_{gcis} + occ_{gcis} \log n \log s_{gcis} + occ)$ 

## Implementation

• The size of GST is  $O(g_{gcis} \log n)$  bits but  $O(\cdot)$  hides big constant factor.

→We implement GCIS-nep / GCIS-uni ,which can do pattern matching in GCIS-grammar without GST & LCE queries.

Programming Language : C++

Mac server 2010 with arch linux

Datasets : Pizza Chili and the tudocomp corpus



## Index size

#### Real and repetitive datasets

Index size (MB)

	Input size	GCIS-nep	GCIS-uni	ESP-index	FM-index	r-index
einstein	92.76	1.13	0.42	0.69	40.29	1.14
worldleaders	46.97	5.41	2.57	3.61	21.09	5.62
english.001.2	104.86	14.78	7.48	10.46	46.98	14.38
dna	403.93	527.55	327.85	297.00	216.15	2123.81
kernel	257.96	21.29	10.46	12.54	125.08	28.94
influenza	154.81	23.37	13.87	15.72	53.06	28.77
commoncrawl	221.18	220.11	138.85	156.00	122.57	454.12

#### Highly repetitive datasets

Index size (KB)

	Input size	GCIS-nep	GCIS-uni	ESP-index	FM-index	r-index
fib41	267914.29	1.37	0.78	1.74	71305.79	7.83
rs.13	216747.21	1.73	0.86	1.88	57653.91	9.09
tm29	268435.46	2.21	0.96	2.19	69347.42	9.17

## **Construction Time**



#### Real and repetitive datasets

## Locate Time (1/2)



## Locate Time (2/2)



## Summary

• We proposed GCIS-index based on GCIS and showed how to answer locate queries efficiently:

Locate Query:  $O(m \log s_{gcis} + occ_{gcis} \log n \log s_{gcis} + occ)$  time Construction: O(n) time

Space:  $O(g_{gcis} \log n)$  bits

• Our implementations GCIS-nep and GCIS-uni are faster than ESP-index when the number of pattern occurrences is large.

-> Download & try : <u>https://github.com/TooruAkagi/GCIS\_Index</u>

#### Future Work : More experiments, also including

- LMS-based self-index of Díaz-Domínguez et al. (today, 12:30pm)
- the index of Deng et al. (WCTA, 11:30 am)